

NOV 28 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:)	Docket No.:	4197-114
)		
Applicants: BAUER, Et al.)	Conf. No.:	8808
Application No.: 10/088,751)	Art Unit:	1734
Date Filed: July 19, 2002)	Examiner:	Mark A. Osele
Title: PROCESS FOR FORMING CELLULOSIC SHAPED BODIES)	Customer No.:	23448

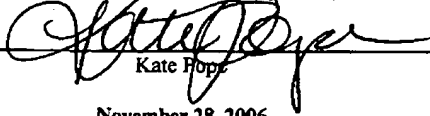
FACSIMILE TRANSMISSION CERTIFICATEATTN: Examiner Mark A. Osele

Fax No. (571) 273-8300

I hereby certify that this document, along with any enclosures identified herein, is being filed in the United States Patent and Trademark Office, via facsimile transmission to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date specified below, to United States Patent and Trademark Office facsimile transmission number (571) 273-8300.

8

Number of Pages (including cover)



Kate Poppe

November 28, 2006

Date

REQUEST FOR INITIALED PTO-1449 FORM FROM INFORMATION DISCLOSURE
STATEMENT FILED MAY 24, 2002 IN U.S. PATENT APPLICATION NO. 10/088,751

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

A review of the file of this application subsequent to the issue of September 28, 2006 Notice of Allowance has revealed that the PTO-1449 Form accompanying the Information Disclosure Statement filed on May 21, 2002 had not been initialed by the Examiner.

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4197-114

For the sake of good order, the Examiner is requested to furnish such initialed form. Enclosed in Appendix A hereof is a copy of the Information Disclosure Statement mailed to the U.S. Patent and Trademark Office on May 21, 2002, including such PTO-1449 Form listing 14 references thereon.

Enclosed in Appendix B hereof is a copy of the return postcard accompanying such Information Disclosure Statement, as date-stamped by the USPTO on May 24, 2002 evidencing receipt in the USPTO on such date of the Information Disclosure Statement and Form PTO-1449.

It therefore is requested that the PTO-1449 Form be initialed by the Examiner reflecting consideration of such references so that the Issue Fee can be paid consistent with the allowance of the application.

Respectfully submitted,



Steven J. Hultquist
Reg. No. 28,021
Attorney for Applicants

INTELLECTUAL PROPERTY/
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Phone: (919) 419-9350
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Attorney File No.: 4197-114

Enclosures:
Copy of IDS and PTO-1449 Form [3 pgs.]
Copy of Return Postcard [1 pg.]

The USPTO is hereby authorized to charge any deficiency or creditary overpayment of fees properly payable for this document to Deposit Account No. 083284

APPENDIX A

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CENTRAL FAX CENTERIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 28 2006

In re United States Patent Application of:

Applicant: Ralf-Uwe Bauer, et al.

Application No.: 10/088,751

Date Filed: March 20, 2002

Title: METHOD FOR PRODUCING
CELLULOSE SHAPED-
BODIES

Docket No.: 4197-114

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned



23448

PATENT & TRADEMARK OFFICE

FIRST CLASS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231, and First Class Mailed under the provisions of 37 CFR 1.8.

Katrina Holland

May 21, 2002
Date of Mailing

INFORMATION DISCLOSURE STATEMENTCommissioner for Patents
Washington, D.C. 20231

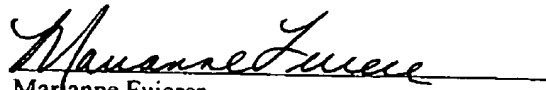
Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ c. Attached is our check no. _____ in the amount of \$_____ in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. _____ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check no. _____ in the amount of \$130.00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit Deposit Account No. _____ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

Respectfully submitted,


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Sheet 1 of 1

FORM PTO-1449		US Dept. of Commerce Patent and Trademark Office		ATTORNEY DOCKET NO. 4197-114		SERIAL NO. 10/088,751	
INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)				APPLICANT Ralf-Uwe Bauer, et al.			
				FILING DATE March 20, 2002		GROUP Not Yet Assigned	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	A1	EP 0 047 929	04/09/1981	Germany	01		X
	A2	DE 3034685 A1	08/04/1982	Germany	08		X
	A3	DE 43 08 524 C1	22/09/1994	Germany	01		X
	A4	EP 0 727 439 A1	21/08/1996	Europe	08		X
	A5	WO 97/23666	03/07/1997	WIPO (Austria)	01		X
	A6	WO 97/31970	04/09/1997	WIPO (Germany)	08		X
	A7	WO 97/47790	18/12/1997	WIPO (Korea)	01		X
	A8	WO 98/05702	12/02/1998	WIPO (Austria)	08		X
	A9	WO 98/58103	23/12/1998	WIPO (Austria)	01		X
OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)							
	A10	XP-002161407 p.168-169					
	A11	H. Firgo, et al., Kritische Fragen Zur Zukunft Der NMMO-Technologie, Lenzinger Berichte p. 81-89 (1994)					
	A12	1996 Annual Review, Domain Industries, Inc., p.1-4 & 20-21					
	A13	D. Klemm, et al., Comprehensive Cellulose Chemistry, Fundamentals and Analytical Methods, Vol. 1, Wiley-VCH, p. 12-13 (1998)					
	A14	Dr. Herbert Sixta, et al., New Technology for the Production of High-Purity Dissolving Pulps, Wissenschaft + Technik, p. 220-234 (2001)					
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

APPENDIX B

✓ 06/17/06

This confirms receipt of:**Information Disclosure Statement and Form PTO-1449**

In re U.S. Patent of: Ralf-Uwe Bauer, et al.
Patent Appl. No.: 10/088,751
Filing Date: March 20, 2002
Title: METHOD FOR PRODUCING CELLULOSE SHAPED-BODIES
Date Mailed: May 21, 2002
Express Mail Label: None (First Class Mail)
Attorney Ref.: 4197-114



JC04 Rec'd PCT/PTO 24 MAY 2002